

04-30-01

Approved for use through 10/31/2002. OMB 0651-0032

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Please type a plus sign (+) inside this box ☐

Patent and Trademark Office DEPARTMENT OF COMMERCE

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UTILITY
PATENT APPLICATION
TRANSMITTAL

| | |
|--|---|
| Attorney Docket No.: | Sprint 1616 (4000-04200) |
| First Inventor or Application Identifier | Duane L. Porter, et al. |
| Title | Distributed Extract, Transfer, and Load (ETL) Computer Method |
| Express Mail Label No. | EL 733145262 US |

Only for new nonprovisional applications under 37 CFR 1.53(b)

I. APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

1. ☒ Fee Transmittal Form (e.g. PTO/SB17)
(Submit an original, and a duplicate for fee processing)
2. ☐ Applicant claims small entity status.
See (Submit an original, and a duplicate for fee processing)
3. ☒ Specification [Total Pages]: 29
(preferred arrangement set forth below)
- Descriptive title of the invention
- Cross References to Related Applications
- Statement Regarding Fed sponsored R & D
- Reference to Microfiche Appendix
- Background of the Invention
- Brief Summary of the Invention
- Brief Description of the Drawings (if filed)
- Detailed Description
- Claims(s)
- Abstract of the Disclosure
4. ☒ Drawing(s) (35 USC 113) Total Sheets: 10
5. ☒ Oath or Declaration Total Pages: 2
a. ☒ Newly executed (original or copy)
b. ☐ Copy from a prior application (37 CFR 1.63(d))
(for continuation/divisional with Box 17 completed)
i. ☐ DELETION OF INVENTOR(S)
Signed statement attached deleting inventor(s)
named in the prior application, see 37 CFR
1.63(d)(2) and 1.33(b).
6. ☐ Application Data Sheet. See 37 CFR 1.76

ADDRESS TO: Assistant Commissioner for Patent
Box Patent Application
Washington, DC 20231

7. ☐ CD-ROM or CD-R in duplicate, large table or Computer
Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission
(if applicable, all necessary)
a. ☐ Computer Readable Form (CRF)
b. ☐ Specification Sequence Listing on:
i. ☐ CD-ROM or CD-R (2 copies); or
ii. ☐ paper
c. ☐ Statements verifying identity of above copies)

ACCOMPANYING APPLICATION PARTS

9. ☒ Assignment Papers (cover sheet & document(s))
10. ☐ 37 C. FR 3.73(b) Statement [] Power of Attorney
(when there is an assignee)
11. ☐ English Translation Document (if applicable)
12. ☐ Information Disclosure Statement (IDS)/PTO-1449
☐ Copies of IDS Citations
13. ☐ Preliminary Amendment
14. ☒ Return Receipt Postcard (MPEP 503)
(Should be specifically itemized)
15. ☐ Certified Copy of Priority Document(s)
(if foreign priority is claimed)
16. ☒ Request and Certification under 35 U.S.C. 122(b)(2)(B)(i).
Applicant must attach form PTO/SB/35 or its equivalent.
17. ☐ Other

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:


☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No.: _____

Prior application information: Examiner: _____ Group Art Unit: _____

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

19 CORRESPONDENCE ADDRESS

☐ Customer Number or Bar Code Label (Insert Customer No. or Attach bar code label here) 21396 or ☒ Correspondence address below

| | | | | | |
|-------------------|---|-----------|-----------------------------------|----------|--------------|
| NAME | Steven J. Funk | | | | |
| ADDRESS | Sprint Law Department | | | | |
| | 8140 Ward Parkway | | | | |
| CITY | Kansas City | STATE | Missouri | ZIP CODE | 64114 |
| COUNTRY | USA | TELEPHONE | 913-624-6828 | FAX | 913-624-6388 |
| Name (Print Type) | Rodney B. Carroll | | Registration No. (Attorney/Agent) | 39,624 | |
| Signature |  | | Date: April 27, 2001 | | |

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04/27/01

U.S. PTO

**FEE TRANSMITTAL
FY 2000**

Patent fees are subject to annual revision.

Complete if Known

| | |
|----------------------|-------------------------|
| Application Number | Not Yet Assigned |
| Filing Date | Concurrently Herewith |
| First Named Inventor | Duane L. Porter, et al. |
| Group Art Unit | |
| Examiner Name | |

TOTAL AMOUNT OF PAYMENT (\$ 804.00)

Attorney Docket Number Sprint 1616 (4000-04200)

METHOD OF PAYMENT (check one)

- 1.
- ☒
- The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:

Deposit Account No.: 21-0765
Deposit Account Name: Sprint

- ☒
- Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17

- 2.
- ☐
- Payment Enclosed:
-
- ☐
- Check
- ☐
- Money Order
- ☐
- Other

FEE CALCULATION**1 BASIC FILING FEE**

| Large Fee Code | Entity Fee (\$) | Small Fee Code | Entity Fee (\$) | Fee Description | Fee Paid |
|----------------------|-----------------------|----------------------|-----------------------|------------------------|---------------|
| 101 | 710 | 201 | 355 | Utility filing fee | \$ |
| 106 | 320 | 206 | 160 | Design filing fee | \$ |
| 107 | 490 | 207 | 245 | Plant filing fee | \$ |
| 108 | 710 | 208 | 355 | Reissue filing fee | \$ |
| 114 | 150 | 214 | 75 | Provisional filing fee | \$ |
| SUBTOTAL (1) | | | | | \$ 710 |

2. EXTRA CLAIM FEES

| Total Claims | 23 - 20 = | 3 | x | 18.00 = | \$54.00 |
|--------------------|-----------|---|---|----------|---------|
| Independent Claims | 2 - 3 = | 0 | x | 80.00 = | \$ 0.00 |
| Multiple Dependent | | | | 270.00 = | \$ 0.00 |

| Large Fee Code | Entity Fee (\$) | Small Fee Code | Entity Fee (\$) | Fee Description | Fee Paid |
|----------------------|-----------------------|----------------------|-----------------------|---|-----------------|
| 103 | 18 | 203 | 9 | Claims in excess of 20 | |
| 102 | 80 | 202 | 40 | Independent claims in excess of 3 | |
| 104 | 270 | 204 | 135 | Multiple dependent claim | |
| 109 | 80 | 209 | 40 | Reissue independent claims over original patent | |
| 110 | 18 | 210 | 9 | Reissue claims in excess of 20 and over original patent | |
| SUB TOTAL (2) | | | | | \$ 54.00 |


FEE CALCULATION (continued)**3. ADDITIONAL FEES**

| Large Fee Code | Entity Fee (\$) | Small Fee Code | Entity Fee (\$) | Fee Description | Fee Paid |
|---|-----------------------|----------------------|-----------------------|--|-----------------|
| 105 | 130 | 205 | 65 | Surcharge - late filing fee or oath | \$ |
| 127 | 50 | 227 | 25 | Surcharge - late provisional filing fee or cover sheet | \$ |
| 139 | 130 | 139 | 130 | Non-English specification | \$ |
| 147 | 2,520 | 147 | 2,520 | For filing a request for reexam | \$ |
| 112 | 920* | 112 | 920* | Requesting publication of SIR prior to Examiner action | \$ |
| 113 | 1,840* | 113 | 1,840* | Requesting publication of SIR after Examiner action | \$ |
| 115 | 110 | 215 | 55 | Extension for reply within 1st month | \$ |
| 116 | 390 | 216 | 195 | Extension for reply within 2nd month | \$ |
| 117 | 890 | 217 | 445 | Extension to reply within 3rd month | \$ |
| 118 | 1,390 | 218 | 695 | Extension to reply within 4th month | \$ |
| 128 | 1,890 | 228 | 945 | Extension to reply within 5th month | \$ |
| 119 | 310 | 219 | 155 | Notice of Appeal | \$ |
| 120 | 310 | 220 | 155 | Filing a brief in support of an appeal | \$ |
| 121 | 270 | 221 | 135 | Request for oral hearing | \$ |
| 138 | 1,510 | 138 | 1,510 | Petition to institute a public use proceeding | \$ |
| 140 | 110 | 240 | 55 | Petition to revive - unavoidable | \$ |
| 141 | 1,240 | 241 | 620 | Petition to revive - unintentional | \$ |
| 142 | 1,210 | 242 | 605 | Utility issue fee (or reissue) | \$ |
| 143 | 440 | 243 | 220 | Design issue fee | \$ |
| 144 | 600 | 244 | 300 | Plant issue fee | \$ |
| 122 | 130 | 122 | 130 | Petitions to the Commissioner | \$ |
| 123 | 50 | 123 | 50 | Petitions related to provisional apps. | \$ |
| 126 | 180 | 126 | 180 | Submission of Information Dis. Stmt. | \$ |
| 581 | 40 | 581 | 40 | Recording each patent assignment per property (times number of properties) | \$40 |
| 146 | 690 | 246 | 345 | Filing a submission after final rejection (37 CFR 1.129(a)) | \$ |
| 149 | 690 | 249 | 345 | For each additional invention to be examined (37 CFR 1.129(b)) | \$ |
| Other fee (specify) _____ | | | | | \$ |
| Other fee (specify) _____ | | | | | \$ |
| *Reduced by Basic Filing Fee Paid SUBTOTAL (3) | | | | | \$ 40.00 |

SUBMITTED BY

Typed or Printed Name Rodney B. Carroll

Signature



Date

April 27, 2001

Complete (if applicable)

Registration Number 39,624

Deposit Account User ID

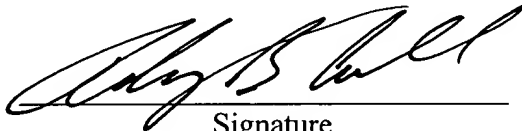
**REQUEST AND CERTIFICATION
UNDER
35 U.S.C. 122(b)(2)(B)(i)**

| | |
|------------------------|---|
| First Named Inventor : | Duane L. Porter, et al. |
| Title: | Distributed Extract, Transfer, and Load (ETL) Computer Method |
| Attorney Docket Number | Sprint 1616 (4000-04200) |
| Express Mail Label No. | EL 733145262 US |

I hereby certify that the invention disclosed in the attached application **has not and will not be** the subject of an application filed in another country, or under a multilateral agreement, that requires publication at eighteen months after filing. I hereby request that the attached application not be published under 35 U.S.C. 122(b).

4-27-01

Date



Signature

Rodney B. Carroll

Registration Number 39,624

This request must be signed in compliance with 37 CFR 1.33(b) and submitted with the application **upon filing**.

Applicant may rescind this nonpublication at any time. If applicant rescinds a request that an application not be published under 35 U.S.C. 122(b), the application will be scheduled for publication at eighteen months from the earliest claimed filing date for which a benefit is claimed.

If applicant subsequently files an application directed to the invention disclosed in the attached application in another country, or under a multilateral international agreement, that requires publication of applications eighteen months after filing, the applicant **must** notify the United States Patent and Trademark Office of such filing within forty-five (45) days after the date of the filing of such foreign or international application. **Failure to do so will result in abandonment of this application (35 U.S.C. 122(b)(2)(B)(iii)).**

TO: "2394860"